

#	Question	Answer
1	Are the reports also available in the new GUI as of 1 April 2025?	Yes, the reports are available for download on the Common Report Engine (CRE) as well as in the Short Code and Algo ID Upload GUI.
2	Is there some kind of hint/information in the report TR171 why the LongCode does not fit?	The Long Code (LC) was identified being invalid as a result of the applied validations. The registered Short Code (SC) with its combination of classification rule (N = National ID; L = LEI; ESMA values PNAL, AGGR, NORE) and the country code and priority resulted in a negative validation result. Trading participants shall check whether the LC matches with the ESMA requirements. Please refer to the Reporting Handbook chapter 3.1, 3.4.1, 3.4.3.3 and chapter 7.
3	Is there a deadline for correction as of June 2025?	The deadline to finish this exercise and deliver the missing and corrected data is March 31, 2026. As of 2 June 2025 the TR170 and TR171 reports will be provided on a daily basis to allow all trading participants to track their correction progress.
4	Will this be a yearly or regular exercise going forward? Or are you making changes to your monitoring and feedback?	This is a one-time exercise in order to ensure compliance with the audit trail requirements, i.e. close gaps in historical records or correct invalid values (5 years back). There is a functionality allowing to upload the missing data after the T+1 in an ongoing manner, it was introduced on 18 November 2024. Please refer to your TR169 report for a cumulative overview of missing short codes. We strongly encourage our trading members to keep closing these gaps as an ongoing exercise.
5	What is the remediation process if we are unable to retrieve a longcode for missing shortcode as the trader has left the firm and we no longer hold his ESMA required personal identification?	Please contact our support team (mifid.reporting@deutsche-boerse.com) in case you face individual issues during the correction process.
6	Why are TR170/171 not available on simulation environment? For developing software and testing purposes.	The reports were made available in the simulation environment on 26 March 2025.
7	If priority 1 is national ID for a given country but we send Concat, would that be a problem?	Yes, if the national priority and the LC are not in line with the ESMA requirements, the LC is considered incorrect.
8	After 15 April 2025, will the reports be not available anymore?	Yes, we strongly encourage the trading members to download the reports ASAP as they will be removed from the CRE after April 15, 2025.
9	If you provide a correction record for a missing short code and intend for that short code to be used in future you still need to add a new registration as the correction records have a valid to date?	Yes, the historical correction procedure will only close historical gaps. The SC has to be registered in the regular manner. Both records can be send in one upload file.
10	What happens if not all records in TR170/171 can be answered anymore and stay open after March 31st, 2026	Please contact our support team (mifid.reporting@deutsche-boerse.com) in case you face individual issues during the correction process.
11	Just to confirm the validtodates and validfromdates should be the same as what was on the error files?	Yes, we strongly encourage the trading members to take over the data provided in the TR170 and TR171 reports.
12	How back dated can we expect the corrections/Effective To date to be, e.g., 2018/19 ?	The data covers missing SC and invalid erroneous LC mappings from the period 1 April 2020 until 15 November 2024. We refer to these data as historical data.
13	Can the corrections be done in bulk upload or does each one have to be done individually?	Yes, the data can be uploaded in a bulk, i.e. via upload file (max. 5 MB / 25 000 records per file).
14	The fields "transactionIdentifier" and "shortCodeId" in TR170 have a length from 19 or 20 digits. If we open it in excel, the fields are not converted correct, how it can be resolved?	Please do not convert the data whilst opening it in excel and it will be properly displayed.